

Exome Requisition Form

Client Account Code:

If code not known or client account not set up, contact Venessa Gamboa at 312-213-5441.

The University of Chicago Genetic Services Laboratories

5841 South Maryland Avenue, Room G701/MC0077, Chicago, IL 60637

Toll Free: 888.824.3637 | Local: 773.834.0555 | Fax: 773.702.9130

ucqlabs@bsd.uchicago.edu | dnatesting.uchicago.edu | CLIA#: 14D0671659 | CAP#: 18827-01

Patient Information

Name: Last _____ First _____ Date of Birth: _____

Sex assigned at birth: Male Female MRN: _____

Ancestry: European African/African-American Latino Asian Ashkenazi Jewish Other _____

Date Sample Drawn: _____ Specimen Requirements: 3-10cc blood in an EDTA (purple top) tube. DNA samples are only accepted if the DNA extraction or isolation was performed at a CLIA-certified laboratory. Please see our website for other specimen requirements.

Ordering Physician Information

Referring Physician: _____

Phone: _____ Fax: _____

Email: _____

Referring Lab: _____

Phone: _____ Fax: _____

Email: _____

Genetic Counselor: _____

Phone: _____ Fax: _____

Email: _____

Indication for Testing (REQUIRED)

Symptomatic: _____ ICD-10: _____

In addition, the following must also be sent with samples for exome sequencing: detailed clinic notes, pedigree, results of prior genetic testing, and brain imaging reports.

Parental Samples

Parental samples are recommended. Send 3-10ccc in EDTA. Please label parental sample with full name and DOB.

MOTHER Date Sample Drawn: _____ Not Available To be sent later

Name: Last _____ First _____ Date of Birth: _____

MRN: _____ Asymptomatic Symptomatic (attach summary of findings)

FATHER Date Sample Drawn: _____ Not Available To be sent later

Name: Last _____ First _____ Date of Birth: _____

MRN: _____ Asymptomatic Symptomatic (attach summary of findings)

Test Requested (REQUIRED)

Exome Sequencing (Proband only)

STAT Exome Sequencing (Proband only)*

Exome Sequencing (Trio) [parent samples required]*

STAT Exome Sequencing (Trio) [parent samples required]*

Additional charges apply for STAT testing. Please contact UCGSL staff prior to ordering STAT exome testing.

Ordering Checklist

- Test Requisition Form (required)
- Completed Indication for Testing/ICD-10 study code (required)
- Completed Clinical Checklist (required)
- Completed Billing Information (required)
- Completed Exome Consent Form (required)
- Completed Research Consent Form (recommended)

Note: All samples should be shipped via overnight delivery at room temperature to the address at the top of this page. No weekend or holiday deliveries. Label each specimen with the patient's name, date of birth and date sample collected.

For Office Use Only

CLINICAL CHECKLIST - Requisition Form

REQUIRED

Please check all clinical features that apply, and use the additional space provided at the bottom of the form if needed

Perinatal History

- YES (see below) NO UNKNOWN
 Abnormal prenatal development - please specify: _____

Cognitive / Developmental

- YES (see below) NO UNKNOWN
 Cognitive impairment (please provide details): _____
 Developmental regression
 Global developmental delay
 Mild Moderate Severe
 Motor delay
 Speech delay

Behavioral

- YES (see below) NO UNKNOWN
 Autism spectrum disorder
 Behavioral / Psychiatric abnormality - please specify: _____

Neurological

- YES (see below) NO UNKNOWN
 Seizures
 Movement abnormality – please specify:
 Ataxia
 Dystonia
 Chorea
 Other: _____
 Encephalopathy
 Hypertonia
 Hypotonia (muscular)
 Spina bifida
 Additional findings:

Brain (Structural Anomalies) - please also provide MRI / imaging reports

- YES (see below) NO UNKNOWN
 Agenesis of corpus callosum
 Cortical dysplasia
 Cerebellar hypoplasia
 Dandy-Walker malformation
 Heterotopia
 Hydrocephalus
 Lissencephaly
 Polymicrogyria
 Pachygyria
 Other: _____

Craniofacial

- YES (see below) NO UNKNOWN
 Cleft lip and/or palate
 Craniosynostosis
 Dysmorphic facies
 Specify: _____
 Macrocephaly, HC: _____
 Microcephaly, HC: _____

Hearing/Vision

- YES (see below) NO UNKNOWN
 Vision abnormality – please specify

 Hearing impairment – please specify

 Other: _____

Growth

- YES (see below) NO UNKNOWN
 Failure to thrive
 Overgrowth
 Short stature
 Hemihypertrophy
 Other: _____

Gastrointestinal (GI)

- YES (see below) NO UNKNOWN
 please specify:

Cutaneous

- YES (see below) NO UNKNOWN
 please specify:

Cardiac

- YES (see below) NO UNKNOWN
 Arrhythmia
 Heart malformation – please specify:

 Other: _____

Hematologic/Immunologic

- YES (see below) NO UNKNOWN
 Immunodeficiency
 Anemia/neutropenia/pancytopenia
 Other: _____

Musculoskeletal

- YES (see below) NO UNKNOWN
 Congenital diaphragmatic hernia
 Digital abnormality – please specify:
 (Poly / Oligo / Other: _____)
 Limb abnormality – please specify:

 Limb joint contracture(s)
 Scoliosis
 Other: _____

Genitourinary

- YES (see below) NO UNKNOWN
 Genital system abnormality - please specify: _____
 Kidney abnormality - please specify:

 Other: _____

Family History

- YES (see below) NO UNKNOWN
 Parents with 2 or more miscarriages
 Other relatives with similar clinical history
 (please include detailed pedigree)

Please include any additional relevant clinical information here:

In addition to this checklist, the following must also be sent with samples for exome sequencing: detailed clinic notes, pedigree, results of prior genetic and metabolic testing, and brain imaging reports.

BILLING OPTIONS

Samples received with incomplete billing information will delay processing time.

Test canceled while "in progress" will be billed for the amount of work completed up to that point.

For client account or institutional billing questions: venessa.gamboa@uchicagomedicine.org or call 312-213-5441.
For insurance or patient billing questions: 1-844-843-3594.

Client Account Code:

Patient Name: Last _____ First _____ (MI): _____ Date of Birth: _____

1.) Institutional Billing

Billing Institution and Client Account Code _____ PO#: _____

Financial Contact: _____ Phone: _____ Fax: _____

Address: _____ City: _____ State: _____ Zip: _____

Email (required): _____

2.) Insurance Billing **PLEASE NOTE:** We do NOT accept Illinois or any out-of-state Medicaid. A legible photocopy of the front and back of the insurance card and insurance prior authorization **MUST BE INCLUDED**. A completed and signed ABN is **REQUIRED** for Medicare patients. Please contact us at 1-844-843-3594 for insurance or patient billing questions.

ICD-10 Diagnosis Code(s): _____ **(Must be provided or insurance cannot be filed.)**

Policyholder Name: _____ Date of Birth: _____ Sex: Male Female

Policyholder Address: _____ City: _____ State: _____ Zip: _____

Relationship to the Patient: Self Spouse Dependent Other Preauthorization # (required): _____

Name of Primary Insurance: _____ Policy No. _____ Group No.: _____

Insurance Address: _____ City: _____ State: _____ Zip: _____

PCP/Referring Physician Name: _____ NPI #: _____

Name of Secondary Insurance: _____ Policy No.: _____ Group No.: _____

Insurance Address: _____ City: _____ State: _____ Zip: _____

The policy holder's signature to the following statement: I hereby authorize any physician who treated or attended to me or my dependent(s) to furnish any medical information requested. In consideration of services rendered, I hereby transfer and assign to the University of Chicago Genetic Services Laboratories any benefits of insurance I may have. I assume responsibility for the balance of the cost of testing not paid by my insurance company. A photocopy of this authorization shall be considered as effective and valid as original.

Authorized Signature: _____ Date: _____

See our QuickGuide to Genetic Testing for complete list of Tests, TAT and CPT Codes.

EXOME CONSENT FORM – The University of Chicago
The Division of Biological Sciences | University of Chicago Medical Center**REQUIRED**

Patient Name : _____

Date of Birth: _____

Overview

The UCGS Exome Sequencing Test attempts to evaluate the protein-coding regions of the human genome, which represents approximately 20,000 genes. These regions of DNA are referred to as 'exome'. The exome accounts for approximately 2% of the genome and comprises the majority of DNA variations that cause human disease. Exome sequencing is a useful and powerful tool for diagnostic applications and has been utilized to identify mutations in disorders that are both genetically and phenotypically heterogeneous and to identify mutations in genes associated with Mendelian disorders. The purpose of this test is to identify the underlying molecular basis of the disorder in this patient's family.

Accuracy

The studies performed are specific to the clinical features/suspected diagnosis indicated.

Accurate interpretation of test results may require an accurate report of the patient's family medical history, and that the reported family relationships are the true biological relationships.

There is always a small possibility of an error or failure in sample analysis; this is always a possibility with complex testing in any laboratory. Extensive measures are taken to avoid these errors.

The accuracy of genetic testing is limited by the methods employed, and sometimes by the nature of the condition for which testing is requested.

Limitations

Not all the exons in the genome are targeted and captured due to certain inherent characteristics of the genome. Approximately 90-95% of exons are targeted at a minimum depth of 30X in the diagnostic exome test. In addition, there is limited or no coverage in regions outside of the exome.

Certain types of mutations are not detectable by this test. This methodology will not detect low level mosaicism, copy number variation (i.e such as the deletion or duplication of an exon) and trinucleotide repeat expansions. Other types of rare genetic variation can interfere with this analysis.

Pathogenic variants may be present in a region of a gene not covered by this test. Absence of findings for any particular gene does not mean that there are no pathogenic variants present in that gene.

It is the responsibility of the referring physician, or a health care professional designated by the physician, to understand the limitations of the testing ordered, and to educate the patient regarding these limitations.

Testing & Analysis Pipeline

Of the thousands of variants identified by exome sequencing, a list of variants in genes that could potentially be related to the phenotype in the patient is generated. Most variants identified will NOT undergo interpretation by a laboratory member. Only those variants identified as potentially relevant to the patient's condition are reviewed by a team of Board-Certified PhD geneticists, MD geneticists, and genetic counselors who will determine the likelihood of the variant being related to the disorder.

Parental Analysis

Biological parental samples are requested in order to facilitate the interpretation of results. Exome sequencing will be performed on parental samples. A separate parental report will not be issued.

Genetic studies of families can sometimes reveal that the true biological relationships are not consistent with the relationships reported in the family history (such as in cases of adoption or non-paternity). It is this laboratory's policy NOT to report these findings, except in rare circumstances in which the findings indicate a medical or reproductive risk for which intervention is possible. These decisions will be made by the laboratory directors in consultation with medical, counseling and legal professionals as well as medical professionals trained in ethics (moral questions) who will determine the most appropriate means of conveying the information.

What is Reported?

UCGS will report on genetic variants that have been reported to be pathogenic, predicted to be pathogenic, possibly pathogenic as well as unclassified variants in established genes for the clinical features/suspected condition indicated for the patient. In addition, truncating pathogenic variants and variants that have been previously reported to be pathogenic or possibly pathogenic in genes hypothesized to be related to the cause of the patient's phenotype will also be reported.

What is Not Reported?

- Benign sequence changes not associated with disease and are commonly identified in healthy people.
- Synonymous (silent) sequence changes not associated with a change in the amino acid sequence.
- Variations associated with increased or decreased risk to develop common disorders (like high blood pressure) or involved in drug metabolism. Variations that have been associated with an increased risk for diseases that might present at an advanced age (like Alzheimer's Disease) in which there is no treatment or preventative measures.
- Heterozygous unclassified variants associated with a recessive disorder unless a deleterious mutation or a second unclassified variant in the same gene is also detected.
- Pathogenic mutations and variants in genes with no current known association with disease.

EXOME CONSENT FORM – The University of Chicago
The Division of Biological Sciences | University of Chicago Medical Center
REQUIRED

Carrier Status of Recessive Disorders for Reproductive Screening

Every individual is a carrier of anywhere from 0 to 10 mutations in genes known to cause significant human disease. Carriers are typically unaffected, but are at risk of transmitting these mutations to their future offspring. Recurrence risk for carrier parents of the same human disease is 25%. The UCGS Exome is not meant to be utilized as a comprehensive carrier test as an individual may be a carrier of type of mutation not screened for by this test or may be a carrier of a condition in which there was little or no coverage for. UCGS will report pathogenic variants in the following conditions: Bloom syndrome, Canavan disease, Cystic Fibrosis, Familial Dysautonomia, Fanconi Anemia type C, Gaucher disease type 1, Hb Beta Chain Related Hemoglobinopathy (Beta Thalassemia & Sickle Cell Disease, Tay Sachs disease, Mucopolidosis IV, Niemann Pick Type A

Please read the below statement carefully and initial the appropriate box. If neither box is checked, the lab will default to the YES/reporting option.

Initial

YES, please report carrier status. By checking this box, I choose to receive information regarding carrier status

NO, please do NOT report carrier status. By checking this box, I choose NOT to receive information regarding carrier status

Secondary Findings

The American College of Medical Genetics and Genomics (ACMG) recommends a minimal list of secondary findings to report from clinical sequencing. All of the included disorders are rare and were selected because preventive measures and/or treatments are available. Many individuals with pathogenic variants in these conditions might be asymptomatic for long periods of time. UCGS will report pathogenic variants in these genes as recommended by the ACMG. For further information please refer to the "ACMG SF v3.1 List for Reporting of Secondary Findings in Clinical Exome and Genome Sequencing: a Policy Statement of the American College of Medical Genetics and Genomics (ACMG)"

Request to Opt-out of Receiving Secondary Findings as Recommended by ACMG

UCGS is giving patients the option of opting out of receiving the above secondary findings recommended by ACMG.

Please read the below statements carefully. Only sign and date the below if you wish to opt out of receiving unrelated secondary findings as recommended by ACMG

I choose NOT to receive information about secondary findings in the genes recommended by ACMG.

Patient or Legally Authorized Representative:

Date: _____

If Legally Authorized Representative please describe relationship to individual:

Implication of Results

Because the implications of genetic testing results can be complex, involving both medical and emotional and social issues, results will only be reported through the referring physician or a professional designated by the physician, such as a genetic counselor. The issues associated with some types of genetic testing are particularly sensitive. Therefore, the laboratory reserves the right to provide testing only if genetic counseling can be provided.

Confidentiality

Results and patient information are confidential and will only be released to the referring physician, unless written consent for further distribution is provided or the laboratory directors are required by law to release this information. For patients within The University of Chicago affiliated centers, policy may require that reports are provided to the medical records department.

Consent For Exome Sequencing

**Signature of Parent/Guardian/
Legally Authorized Representative:**

Date: _____

If Legally Authorized Representative please describe relationship to individual:

Referring Clinician

By signing this consent form, the referring clinician 1) indicates that this consent form has been reviewed with the patient and/or the patient's parent or guardian, and 2) accepts responsibility for pre- and post- test genetic counseling.

Signature of Referring Clinician:

Date: _____

RESEARCH CONSENT FORM – The University of Chicago The Division of Biological Sciences | University of Chicago Medical Center

CONSENT/AUTHORIZATION BY SUBJECT FOR PARTICIPATION IN A RESEARCH PROTOCOL FOR THE BETTER UNDERSTANDING OF THEIR GENETIC CONDITION

Protocol Number: 11-0151

Name of Subject : _____

Date of Birth: _____

STUDY TITLE: Molecular Genetic Studies of Rare Orphan Genetic Disease

Research Team: Soma Das, Ph.D.
5841 S. Maryland Ave. Room L-155 MC 0077, Chicago, IL 60637
773-834-0555

You are being asked to participate/allow your child to participate in a research study that may help us learn more about the genetic condition for which you/your child are being tested. This consent form describes the study, the risks and benefits of participation, as well as how your confidentiality will be maintained. Please take your time to contact us with questions and feel comfortable making a decision whether to participate or not. If you decide to participate in this study, please sign this form. **Throughout this consent form, “you” will refer to you or your child, as appropriate.**

WHY IS THIS STUDY BEING DONE?

You have already consented to clinical genetic testing. We are asking you to also participate in further studies. The purpose of these studies is to learn more about the genetic cause of diseases tested for in our lab, gather more information about these disorders, and experiment with new methods that may be better for testing.

WHAT IS INVOLVED IN THE STUDY?

During this study, Dr. Das and her team will collect information about you for this research. We may contact your doctor to request additional Protected Health Information (PHI), which consists of any health information related to your diagnosis (such as date of birth, medical record number, primary diagnosis, clinical features, relevant and family history, outcome). The data collected will be used to develop a database of patients being tested for genetic diseases and will be kept for the duration of the database. This study will look at how often different genetic mutations happen and clinical information related to the mutation.

When our lab is researching new genes or testing methods that are related to your diagnosis, we may include your sample, with others from similar patients in a small study before offering this new test. This data will help in directing doctors about the likelihood of a positive or negative test result in their patient. We may also use your sample to set up new methods that will improve the clinical testing in our laboratory. Your clinical information and sample, without any identifiers,

may also be shared with other researchers that are interested in this specific condition.

HOW LONG WILL I BE IN THE STUDY?

Once enrolled, you will likely remain in this study as long as your DNA sample remains in our laboratory. If you want your sample, to be removed from the study at any time, please contact us, and the sample will not be used for further studies. Existing results will remain in our database until the study ends. For minors (those less than 18 years of age), their sample/data will be deleted or de-identified once they reach the age of majority (18 years or older) unless they are re-consented. Three attempts will be made at attempting re-consent before sample/data is deleted or de-identified. Sample/data will not be used in the study during the time period of trying to contact individuals for re-consenting purposes.

WHAT ARE THE RISKS OF THE STUDY?

There are no known added risks of the research. No additional information will be obtained from you, as all of the information has already been collected as part of clinical genetic testing or evaluation by your doctor.

ARE THERE ANY BENEFITS TO TAKING PART IN THE STUDY? If

you agree to take part in this study, there may be direct medical benefit to your family. We may identify a cause for the genetic disease in your family. If a mutation is identified in your DNA, through our testing, your referring doctor will be notified and will receive a clinical report. Our study may also be helpful in finding the genetic causes of disease and will benefit doctors and patients as a group.

WHAT OTHER OPTIONS ARE THERE?

You may choose not to participate.

WHAT ARE THE COSTS?

There will be no additional costs to you or your insurance company resulting from this research study. However, you or your insurance company will be responsible for costs related to your usual medical care.

WILL I BE PAID FOR MY PARTICIPATION?

You and your child will not be paid to participate.

WHAT ABOUT PRIVACY?

Study records that identify you will be kept private. All of your personal information will be entered into a password-protected database to prevent access to non-authorized personnel. If your data is shared with other researchers, all patient identifiers will be removed. Data from this study may be used in medical journals or presentations. If results from this study or related studies are made public in a medical journal, individual patients will not be identified. If we wish to use a patient's identity in a medical journal, we will ask for your permission at that time.

As part of the study, Dr. Das and her team will report any positive results of further testing to your referring doctor and/or genetic counselor. Dr. Das may also share these results, without your name or date of birth, with other researchers.

RESEARCH CONSENT FORM – The University of Chicago
The Division of Biological Sciences | University of Chicago Medical Center

People from the University of Chicago, including the Institutional Review Board (IRB), a committee that oversees research at the University of Chicago, may also view the records of the research. If health information is shared outside the University of Chicago, the same laws that the University of Chicago must obey may not protect your health information. Dr. Das does not have to give you any results that are not important to your health or your family's health at that time.

This consent form will be kept by the research team for at least six years. The study results will be kept in your child's research record and be used by the research team indefinitely. When the study ends, your personal information will be removed from all results. Any information shared with your doctor may be included in your medical record and kept forever.

The Genetic Information Nondiscrimination Act (GINA) is a federal law that may help protect you from health insurance or employment discrimination based on genetic information. GINA is a federal law that will protect you in the following ways:

- Health insurance companies and group plans may not request genetic information from this research;
- Health insurance companies and group plans may not use your genetic information when making decisions regarding your eligibility or premiums;
- Employers with 15 or more employees may not use your genetic information when making a decision to hire, promote, or fire you or when setting the terms of your employment.

GINA does not protect you against genetic discrimination by companies that sell life insurance, disability insurance, or long-term care insurance. GINA also does not protect you against discrimination based on an already-diagnosed genetic condition or disease.

WHAT ARE MY RIGHTS AS A PARTICIPANT?

Taking part in this study is optional. You may choose not to participate at any time during the study. Choosing not to participate or leaving the study will not affect your child's testing at the University of Chicago.

If you choose to leave the study and you do not want any of your child's future health information to be used, you must inform Dr. Das in writing at the address on the first page. Dr. Das may still use your child's information that was collected before to your written notice. You will be given a signed copy of this form. This consent form does not have an expiration date.

WHO DO I CALL IF I HAVE QUESTIONS OR PROBLEMS?

If you have further questions about the study, please call 773-834-0555.

If you have any questions about your rights in this research study you may contact the IRB, which protects participants in research projects. You may reach the Committee office between 8:30 am and 5:00 pm, Monday through Friday, by calling (773) 702-6505 or by writing: University of Chicago, Institutional Review Board, 5841 S. Maryland Ave., MC7132, I-625, Chicago, IL 60637.

Consent

I have received information about this research project and the procedures. No guarantee has been given about possible results. I will receive a signed copy of this consent form for my records.

I give my permission to participate in the above research project.

Signature of Subject: _____

Date: _____

I give my permission for my child/relative/the person I represent to participate in the above research project.

Signature of Parent / Legal Guardian / Legally Authorized Representative:

Date: _____